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	Application Number	10/621,726
	Filing Date	July 17, 2003
	First Named Inventor	Blake Johnson
	Art Unit	3629
	Examiner Name	Tan D. Nguyen
Total Number of Pages in This Submission		11
Attorney Docket Number		PA2454US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	4) Copies of 3 cited nonpatent references;
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		5) List of co-pending patent applications (x2)
Remarks Total page number does not include postcard or cited references.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Carr & Ferrell LLP		Cust. No. 22830
Signature			
Printed name	Susan Yee		
Date	May 11, 2005	Reg. No.	41,388

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Susan Yee, Reg. No. 41,388	Date	May 11, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Blake Johnson et al.
APPLICATION NO.: 10/621,726
FILED: July 17, 2003
TITLE: System and Method for Optimizing Sourcing Opportunity
Utilization Policies
EXAMINER: Tan D. Nguyen
GROUP ART UNIT: 3629
ATTY.DKT.NO.: PA2454US

MAIL STOP AMENDMENT
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

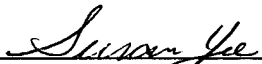
Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08 listing several references, copies of the nonpatent references are enclosed. The Examiner is requested to make these references of official record in the application. The references cited may be material to examination of the application and are submitted in compliance with Applicant(s)'s duty of disclosure as defined by 37 C.F.R. § 1.56.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Applicants believe no fee is due with this submission. If a fee is due, however, the Commissioner is hereby authorized to charge any necessary fee to Account Number 06-0600. A copy of this statement is submitted in duplicate for this purpose.

Respectfully submitted,
Blake Johnson et al.

Dated: May 11, 2005

By: 
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Dated: May 11, 2005

By: 
Susan Yee, Reg. No. 41,388



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Blake Johnson et al.

APPLICATION NO.: 10/621,726

FILED: July 17, 2003

TITLE: System and Method for Optimizing Sourcing Opportunity
Utilization Policies

EXAMINER: Tan D. Nguyen

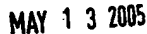
GROUP ART UNIT: 3629

ATTY.DKT.NO.: PA2454US

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**List of Co-Pending Patent Applications That May Be Directed
Towards Similar Subject Matter**

Examiner's Initials	Serial Number	First-Named Inventor	Title	Filing Date
	10/621,645	Blake Johnson	System and Method for Representing and Incorporating Available Information into Uncertainty-Based Forecasts	July 17, 2003
	11/009,435	Blake Johnson	System and Method for Analyzing Relationships Between Sourcing Variables	12/9/2004
	10/269,794	Blake Johnson	System and Method for Automated Analysis of Sourcing Agreements and Performance	October 11, 2002
Examiner's Signature:				Date



Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

(Use as many sheets as necessary)

Complete if Known

Application Number	10/621,726
Filing Date	July 17, 2003
First Named Inventor	Blake Johnson
Art Unit	3629
Examiner Name	Tan D. Nguyen
Attorney Docket Number	PA2454US

Sheet	1	of	2
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U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/621,726
Filing Date	July 17, 2003
First Named Inventor	Blake Johnson
Art Unit	3629
Examiner Name	Tan D. Nguyen
Attorney Docket Number	PA2454US

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		LUCIA, J.J. et al., "Electricity Prices and Power Derivatives: Evidence from the Nordic Power Exchange," 2000, pp. 1-42, University of California, Los Angeles, Anderson School of Management.	
		PATIE, P., "On the First Passage Times of Generalized Ornstein-Uhlenbeck Process," April 2003, pp. 1-18, RiskLab, Switzerland.	
		Mean Reversion Models, http://sphere.rdc.puc-rio.br/marco.ind/revers.html	

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